

# 1 Reviews

## Example 1.1

Let  $V = \mathbb{R}^4$  and  $W = \text{span}(e_1 + e_2 + e_3 + e_4)$ . Find a basis of  $V/W$ .

*Proof.* Just pick  $e_1, e_2, e_3$  and we claim this is a basis. Well, note  $\dim W = 1$ ,  $\dim V = 4$ , so  $\dim(V/W) = 3$ . Thus we just need to show  $e_1 + W, e_2 + W$  and  $e_3 + W$  spans, and we have a theorem which says if  $\dim V = n$  then  $\{v_1, \dots, v_n\}$  spans iff  $\{v_1, \dots, v_n\}$  linearly independent. But then this is obvious, as  $\{e_1, e_2, e_3, e_4\}$  spans  $V/W$  but  $e_4 + W = -e_1 - e_2 - e_3 + W$ . Thus we are done.



## Example 1.2

Let  $A$  be the matrix

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

Show  $A^3 = 0$ .

*Proof.* Compute.



## Example 1.3

Let

$$A = \begin{bmatrix} 2 & 3 \\ 0 & 2 \end{bmatrix}$$

Compute  $A^m$  for  $m \geq 1$ .

*Proof.* Observe  $A = 2I + 3B$  where  $B = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$ . Observe  $B^2 = 0$  as one can compute this. But then we also have  $(2I)(3B) = (3B)(2I)$ , we can use binomial theorem to conclude

$$(2I + 3B)^m = \sum_{i=0}^m \binom{m}{i} (2I)^{m-i} (3B)^i$$

where only  $i = 0, 1$  would give  $(3B)^i \neq 0$ . In other word,

$$(2I + 3B)^m = 2^m I + \binom{m}{1} 2^{m-1} I (3B) = \begin{bmatrix} 2^m & (3m) \cdot 2^{m-1} \\ 0 & 2^m \end{bmatrix}$$



### Example 1.4

A matrix  $A$  is idempotent if  $A^2 = A$ . Show  $n$  by  $n$  matrix  $A$  is idempotent if and only if  $\text{rank}(A) + \text{rank}(I - A) = n$ .

*Proof.* First note that we can do elementary row/column operations on block matrices as well. In particular, consider the block matrix

$$\begin{bmatrix} A & 0 \\ 0 & I - A \end{bmatrix}$$

and we add the first row  $[A, 0]$  to the second row, and we get

$$\begin{bmatrix} A & 0 \\ A & I - A \end{bmatrix}$$

Now add the first column to the second column, we get

$$\begin{bmatrix} A & A \\ A & I \end{bmatrix}$$

Next, multiply second row by  $-A$  and add to the first row, we get

$$\begin{bmatrix} A - A^2 & 0 \\ A & I \end{bmatrix}$$

Multiply second column by  $-A$  and add to the first column, we get

$$\begin{bmatrix} A - A^2 & 0 \\ 0 & I \end{bmatrix}$$

This shows

$$\text{rank} \begin{bmatrix} A & 0 \\ 0 & I - A \end{bmatrix} = \text{rank} \begin{bmatrix} A - A^2 & 0 \\ 0 & I \end{bmatrix}$$

Convenience yourself ranks are additive on block matrices, i.e. we get

$$\text{rank}(A) + \text{rank}(I - A) = \text{rank}(A - A^2) + \text{rank}(I_n) = n$$

We are done.



### Example 1.5

Let  $T : V \rightarrow V$  be linear transformation with  $\dim V = n$ . Prove that

$$\text{rank}(T^n) = \text{rank}(T^{n+k})$$

for all  $k \geq 1$ .

*Proof.* If  $T$  is invertible then  $T^m$  is invertible for all  $m \geq 1$  and in particular  $\text{rank}(T^m) = n$  for all  $m \geq 1$ .

Thus now assume  $T$  is not invertible. In this case,  $\text{rank}(T) < n$ . But observe that

$$\text{rank}(T) \geq \text{rank}(T^2) \geq \text{rank}(T^3) \geq \dots \geq \text{rank}(T^n) \geq \text{rank}(T^{n+1})$$

This is  $n + 1$  integers less than  $n$ , and thus by Pigeonhole we get some  $m < n + 1$  such that the  $\geq$  is in fact  $=$ , i.e.

$$\text{rank}(T^m) = \text{rank}(T^{m+1}) \Rightarrow \text{im}(T^m) = \text{im}(T^{m+1})$$

But this implies  $\text{rank}(T^m) = \text{rank}(T^{m+k})$  for all  $k \geq 1$ . Indeed, observe

$$\begin{aligned} \text{im}(T^{m+1}) &= \{T T^m x : x \in V\} \\ &= \{T x : x \in \text{im}(T^m)\} \\ &= \{T x : x \in \text{Im}(T^{m+1})\} = \text{im}(T^{m+2}) \end{aligned}$$

and now use induction we are done.



## 2 Enrichment: Permutation 1

### Definition 2.1

A permutation is a automorphism of sets between  $[n]$ , i.e.  $\sigma \in S_n$  if  $\sigma : [n] \rightarrow [n]$  is a bijection

### Example 2.2

Bijection of sets is just a bijection of sets... For example,  $\sigma : [4] \rightarrow [4]$  defined by  $\sigma(1) = 2, \sigma(2) = 4, \sigma(3) = 3$  and  $\sigma(4) = 1$  is a permutation.

We can also compose permutations, it is just composition of functions...

### Example 2.3

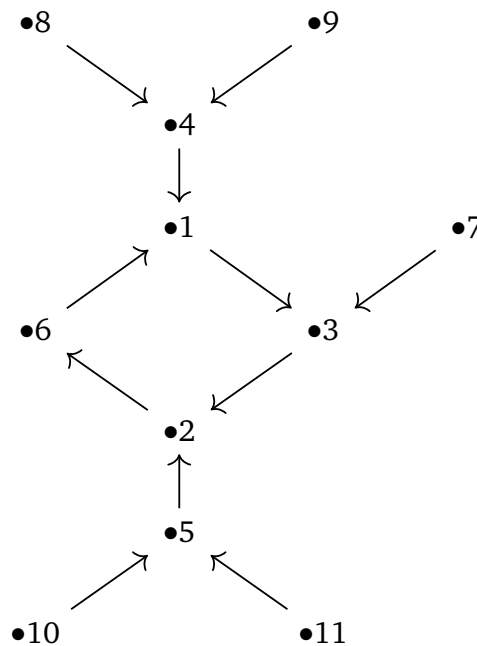
Let  $\sigma$  be in the last example, and  $\tau(1) = 2, \tau(2) = 3, \tau(3) = 4$  and  $\tau(4) = 1$ . Then we see  $\sigma\tau(1) = 3, \sigma\tau(2) = 2, \sigma\tau(3) = 1$  and  $\sigma\tau(4) = 4$ .

### Construction 2.4

Let  $\sigma$  be any endofunction of  $[n]$ , i.e. any function  $\sigma : [n] \rightarrow [n]$ , we can associate a directed graph to  $\sigma$  by define vertices as  $[n]$  and edges as  $(x, \sigma(x))$ . In particular, those two things are the “same”, i.e. one endofunction defines a unique directed graph with out-number 1 and every directed graph with out-number 1

defines an endofunction.

Here is an example:



This directed graph clearly defines a endofunction. In fact, this endofunction is 2-to-1, i.e.  $\sigma^{-1}(x)$  is always exactly two elements.

Since permutations are endofunctions, we get directed graphs out of it.

Let's consider some basic properties of this kind of directed graph.

### Definition 2.5

Let  $G$  be a directed graph, a **(directed) cycle** is a path which only first and last vertices are equal.

### Proposition 2.6

Let  $\sigma$  be a permutation,  $G$  be its associated directed graph. For  $x \in [n]$  define  $O(x) = \{\sigma^d(x) : d \geq 0\}$ . Then  $\{(x, \sigma^d(x)) : d \geq 0\}$  is a directed cycle.

*Proof.* First note  $O(x)$  must be a finite set since  $\sigma : [n] \rightarrow [n]$ . In particular, this means  $\{x, \sigma(x), \sigma^2(x), \dots\}$  must start to repeat at some point, Say  $\sigma^{m+1}(x) \in \{\sigma^i(x) : 0 \leq i \leq m\}$  where  $m + 1$  is the minimal such element, then  $\sigma^{m+1}(x) = \sigma^k(x)$  with  $k < m + 1$ . Now  $\sigma$  is a permutation, thus the inverse exists, and we get  $\sigma^{m+1-k}(x) = x$ . This forces  $k$  to be zero as  $m + 1$  has to be minimal, and hence we indeed get a cycle. It is obvious this is a directed cycle.



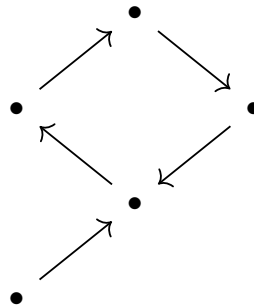
### Proposition 2.7

Let  $x, y \in [n]$ , then  $O(x) \cap O(y) = \emptyset$  or  $O(x) = O(y)$ .

*Proof.* Well, suppose  $z \in O(x) \cap O(y)$ , this means  $\sigma^k(x) = \sigma^m(y) = z$ . If  $k = m$  then  $x = y = \sigma^{-k}(z)$  and so  $O(x) = O(y)$  as desired. Now WLOG assume  $k < m$ , and so we get  $x = \sigma^{m-k}(y) = \sigma^{-k}(z)$ . But then  $x \in O(y)$  and so  $O(x) \subseteq O(y)$  where both  $O(x)$  and  $O(y)$  are cycles. But then there is only one possible sub-cycle in a cycle, which is the cycle itself, i.e.  $O(x)$  must equal  $O(y)$ .



Next, note it is impossible for permutations to have the following situation



as  $\sigma$  is bijection means only one arrow goes in and out the same node.

This observation plus the propositions shows the following theorem:

### Theorem 2.8

The directed graph of  $\sigma$  is a disjoint union of directed cycles.

Well, why do we care? Observe directed cycles correspond to a permutation that moves one set  $S \subseteq [n]$  around, and fix the rest. For example,  $\tau(1) = 2$ ,  $\tau(2) = 3$ ,  $\tau(3) = 4$  and  $\tau(4) = 1$  is a cycle. In general, cycles are defined by  $(n_1, n_2, \dots, n_k)$  where this notation means a permutation that sends  $n_1$  to  $n_2$ ,  $n_2$  to  $n_3$  and at the end  $n_k$  to  $n_1$ , while fix all other elements.

Two cycles  $\sigma = (n_1, \dots, n_k)$  and  $\tau = (m_1, \dots, m_p)$  are disjoint if the set  $\{n_1, \dots, n_k\}$  and  $\{m_1, \dots, m_p\}$  are disjoint.

What we just proved is the following:

### Theorem 2.9

Every permutation admits a disjoint cycle decomposition, and it is unique up to re-ordering. In other words, every permutation is a set of cycles.

Now let's just do some examples.

### Example 2.10

Consider  $\sigma : [4] \rightarrow [4]$  defined by  $\sigma(1) = 2$ ,  $\sigma(2) = 4$ ,  $\sigma(3) = 3$  and  $\sigma(4) = 1$ . Then this decompose as  $(124)(3)$ .

Next, if  $\tau = 48635127$  where we used one-line notation, i.e.  $4 = \tau(1)$ ,  $8 = \tau(2)$  and so on. Then  $\tau = (1436)(287)(5)$ .

If we still have time:

### Example 2.11

Let  $\sigma$  be a  $2n + 1$  permutation such that  $\sigma(1) > \sigma(2) < \sigma(3) > \dots \pi(2n) < \pi_{2n+1}$ . Let  $t_n$  be the number of such permutations (in particular if  $n$  is even then  $t_n = 0$ ). Show that

$$T(x) := \sum_{n \geq 0} \frac{t_n}{n!} x^n = \tan(x)$$

*Proof.* Only a sketch proof.

Step 1: show that  $t_{2k+1} = \sum_{1 \leq j \leq 2k, j \text{ odd}} \binom{2j}{j} a_j a_{2k-j}$ . This is easy: we just delete the 1 in our one-line notation sequence, and turn those two substrings into two new permutations. This is not 1-to-1, and we must add the factor  $\binom{2j}{j}$ , which concludes the proof.

Step 2: this recurrence implies we get

$$T'(x) = T(x)^2 + 1$$

and solve for it we get  $\tan(x)$ .

